

§ 279.4

investment adviser registered with the Commission on July 8, 1997.
[62 FR 28136, May 22, 1997]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form ADV-T, see the List of CFR Sections Affected in the Finding Aids section of this volume.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form ADV-S, see the List of CFR Sections Affected in the Finding Aids section of this volume.

EFFECTIVE DATE NOTE: At 61 FR 68503, Dec. 27, 1996, § 279.3 was stayed indefinitely.

§ 279.4 Form 4-R, irrevocable appointment of agent for service of process, pleadings and other papers by individual nonresident investment adviser.

This form shall be filed pursuant to Rule 0-2 (§275.0-2 of this chapter) by each individual nonresident investment adviser who is registered or applying for registration with the Commission as an investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 4-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.5 Form 5-R, irrevocable appointment of agent for service of process, pleadings and other papers by corporation nonresident investment adviser.

This form shall be filed pursuant to Rule 0-2 (§275.0-2 of this chapter) by each corporation nonresident investment adviser, and by each unincorporated nonresident investment adviser not organized as a partnership, which is registered or applying for registration with the Commission as an investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 5-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.

17 CFR Ch. II (4-1-98 Edition)

§ 279.6 Form 6-R, irrevocable appointment of agent for service of process, pleadings and other papers by partnership nonresident investment adviser.

This form shall be filed pursuant to Rule 0-2 (§275.0-2 of this chapter) by each partnership nonresident investment adviser which is registered or applying for registration with the Commission as an investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 6-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.7 Form 7-R, irrevocable appointment of agent for service of process, pleadings and other papers by nonresident general partner of investment adviser.

This form shall be filed pursuant to Rule 0-2 (§275.0-2 of this chapter) by each nonresident general partner of an investment adviser, and by each nonresident “managing agent” of an unincorporated investment adviser as defined in said Rule 0-2, which is registered or applying for registration with the Commission as investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 7-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.8 Form ADV-E, cover page for certificate of accounting of securities and funds in possession or custody of an investment adviser.

[54 FR 32049, Aug. 4, 1989]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form ADV-E, see the List of CFR Sections Affected in the Finding Aids section of this volume.

PART 281—INTERPRETATIVE RELEASES RELATING TO CORPORATE REORGANIZATIONS UNDER CHAPTER X OF THE BANKRUPTCY ACT

Subject	Release No.	Date	Fed. Reg. Vol. and Page
Letter of the Commission with respect to transmission to the Commission of all petitions, answers, orders, applications, reports and other papers filed under Chapter X of the Bankruptcy Act.	1	Sept. 26, 1938 ..	11 FR 10997.
Statement by the Commission summarizing Chapter X of the Bankruptcy Act.	2do	11 FR 10998.